

# David Verghese, CFA



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## PROFILE

- Experienced investment professional with deep capital markets experience
- Articulate presenter of complex investment ideas to colleagues and key stakeholders
- Strong relationship builder who enjoys working with others and thrives in team environments
- Masters in Finance (Boston College), Bachelor of Commerce (University of Alberta)

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## WORK EXPERIENCE

**SAM HOUSTON STATE UNIVERSITY**, May 2014 – Present

Huntsville, TX

*Doctoral Research University with 21,000+ enrolled students*

### Treasurer

- Oversee all banking and investment relationships on behalf of the university to generate attractive risk-adjusted returns
- Monitor all endowment assets and operating funds to ensure performance metrics and compliance parameters are being met
- Actively participate on the university's investment committee to assess managers, verify allocations, and review attribution reports
- Seek and identify new opportunities to generate alpha from endowment and operating assets
- Lead and participate on RFP evaluation teams for bank depository, merchant services, and investment consulting engagements
- Identify opportunities to improve customer service and reduce transactional costs for student payments
- Supervise a total staff of 15 including treasury staff and student financial services
- Review and assess performance of various managers to identify managers that should be allocated additional capital or eliminated from portfolios
- Interact with multiple vendors and sell-side firms to assess macro views and provide feedback to committees
- Collaborate with fundraising teams to answer inquiries and speak to donors regarding market outlook and spending targets
- Produce executive summaries for senior leadership showing investment performance and improvements in operational areas

**ENBD ASSET MANAGEMENT**, October 2007 – October 2013

Dubai, UAE

*One of the largest asset management firms in Dubai*

### Portfolio Manager, April 2010 – October 2013

- Lead portfolio manager of funds and investment portfolios covering various international markets
- Thorough knowledge of investment markets, with comprehensive exposure to equities, fixed income, credit facilities, foreign exchange, and commodities
- Generated return of +21% during tenure as lead manager of the MENA High Income Fund (MHIF); the fund invested in dividend equities and fixed income to generate returns for investors
- Outperformed the benchmark by +17% while maintaining lower volatility, which identified MHIF as one of the best risk-adjusted funds in its class
- Utilized quantitative analysis and risk management tools to track performance, identify the best ideas for portfolio construction, and implement asset allocation changes in a timely fashion
- Analyzed various managers for a fund-of-funds product and highlighted several recommended funds to the committee for consideration
- Worked with clients to develop successful investment strategies according to each client's investment requirements
- Interacted daily with various financial intermediaries including international brokerage firms, global custodians, regional stock exchanges, and market regulators

- Effective presenter of investment strategies to clients, distribution channels, investment boards, and institutions; known for establishing results-oriented working relationships
- Produced various board level reports showing risk profiles in various market conditions, making key recommendations, and highlighting best practices to board members

**Investment Analyst**, October 2007 – March 2010

- Covered multiple sectors including telecom, transports, and consumer discretionary
- Evaluated potential equity and fixed income investments for inclusion into portfolios based on analysis of financial statements and fundamental valuation metrics
- Maintained quantitative screens to generate investment ideas within key themes
- Met company management teams to assess the growth drivers for their businesses and evaluate their track record of delivering returns to shareholders

**MORGAN STANLEY**, January 2006 – September 2007

New York, NY

*One of America's largest financial institutions*

**Director**

- Managed several banking and lending products within the firm's banking and strategy group
- Interacted frequently with various internal groups including legal, accounting, marketing, and IT to ensure that client expectations were being exceeded
- Flagship investment product was a program to provide clients with FDIC-insured deposits; by August 2007, the program had grown deposits by 98% in one year
- Modeled revenues and used quantitative analysis to set rates for key banking products based on the outlook for short term rates

**BRAIT SPECIALIZED FUNDS**, January 2004 – December 2005

Boston, MA

*A Boston-based hedge fund that identified long-short opportunities in the US market*

**Investment Analyst**

- Buy side analyst for a Boston-based hedge fund covering long and short opportunities
- Generalist coverage of multiple sectors including technology, healthcare, and manufacturing
- Conducted extensive research, including direct interaction with company executives, independent consultants, and sell-side analysts to establish fundamental views
- Constructed earnings models, quantitative market valuation screens, and research notes, while actively tracking performance of equity ideas

**GOVERNMENT OF ALBERTA**, April 2002 – August 2002

Edmonton, Canada

*Department of Revenue for the provincial government*

**Revenue Policy Analyst (Summer Position)**

- Evaluated various investment alternatives for the government's savings fund, which is a long-term savings fund that generates income to support government programs
- Responsible for scenario analysis and forecasting of the revenues from the fund under various projections in the future
- Developed policy papers regarding investment objectives of the fund and possible changes to the positioning of the fund

**INVESTOPEDIA.COM**, August 2001 – April 2002

Edmonton, Canada

*A premier online investment education platform*

**Part-Time Writer**

- Authored a series of online educational investment market articles while pursuing undergraduate studies at the University of Alberta
- Topics mainly focused on options trading strategies for equity investors in the US and Canada

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## EDUCATION

**Boston College**, Chestnut Hill, Massachusetts

*Master of Science* in Finance, 2003

Completed extensive graduate courses on finance including portfolio theory and investments

**University of Alberta**, Edmonton, Alberta

*Bachelor of Commerce* in Finance with Distinction, 2002

Completed two co-op work terms at the Auditor General of Alberta and Nortel Networks

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## CERTIFICATIONS & PROFESSIONAL DEVELOPMENT

*CFA Investment Management Workshop*, **Harvard Business School**, 2012

- One of a select group of sixty five investment professionals that attended the annual financial workshop at the Harvard Business School

*CFA Charterholder*, **CFA Institute**, 2006

- Completed all requirements and have been a CFA charterholder for over seven years
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## SKILLS

- Bloomberg, FactSet, Microsoft Office, and various third-party investment platforms
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## ADDITIONAL INFORMATION

- Hobbies include reading, chess, traveling, and soccer
- Authorized to live and work permanently in the US